

WFUHS PI:

Proposal Title:

Sponsor: _____ **Period of Performance:** _____ to _____

Subrecipient Organization Information

Legal name:

Address, City, State, Zip+4

EIN: _____ **DUNS:** _____

Congressional District: _____ **Institution Type:** _____

Registered in SAM? Yes No

Subrecipient PI: _____ **Phone:** _____ **Email:** _____

Administrative Contact: _____ **Title:** _____

Phone: _____ **Email:** _____

Budget Requested: _____ **Direct:** _____ **Indirect:** _____

Subrecipient Certifications

Facilities and Administrative (F&A) Costs included in this proposal have been calculated based on:

Federally negotiated rate for this type of work (provide rate agreement or URL:

Not applicable (no F&A costs are requested)

Human Subjects Yes No **Institutional Assurance Number:** _____

Vertebrate Animals Yes No **Animal Welfare Assurance Number:** _____

Cost Sharing Yes No **Amount:** _____

Debarment and Suspension

Is the Subrecipient Organization, PI or any other employee or student participating in this project debarred, suspended or otherwise excluded from or ineligible for participation in federal department, agency, assistance programs or activities?

Yes No

Responsible Conduct of Research (RCR)

Not applicable because this proposal is not being submitted to NSF or NIH

Subrecipient certifies it will ensure that all undergraduates, graduate students, and postdoctoral researchers who will be supported by this NSF proposal will receive the training in the responsible and ethical conduct of research.

Conflicts of Interest (COI) *Subrecipient certifies that all investigators have completed, or will complete, related training.*

Not applicable because this project will not be funded by NIH, NSF, or any other sponsor that has adopted the federal financial disclosure requirements

Subrecipient does not have an active and/or enforced COI policy and agrees to follow WFUHS's policy and related procedures. See policy at: <http://www.wakehealth.edu/Conflict-of-Interest/Subrecipients.htm>

Subrecipient certifies that it has an active and enforced COI policy that is consistent with the provision of 42 CFR Part 50, Subpart F "Responsibility of Applicants for Promoting Objectivity in Research" and 45 CFR Part 94 "Responsible Prospective Contractors." Subrecipient also certifies that, to the best of Institution's knowledge, (1) all financial disclosures will be made related to the activities that may be funded by or through a resulting agreement, and required by its COI policy, and (2) all identified financial conflicts of interest have or will have been satisfactorily managed, reduced or eliminated in accordance with Subrecipient's COI policy prior to the expenditures of any funds under any resultant agreement and within a manner sufficient to enable timely FCOI reporting.

Financial Audit Status

Subrecipient is required to have an annual audit in accordance with OMB Circular A-133 or 2CFR200 as applicable

Most recent fiscal year completed: FY

Subrecipient does not receive an annual audit in accordance with OMB Circular A-133 or 2CFR200 as applicable because:

Nonprofit entity under federal funding threshold

Foreign entity

For-profit entity

Other (please explain)

The information, certifications and representations above have been read and approved by an authorized official of the Subrecipient named herein. The appropriate programmatic and administrative personnel involved in this application are aware of agency policy in regard to subawards and are prepared to establish the necessary inter-institutional agreement consistent with those policies. Any work begun and/or expenses incurred prior to execution of a subaward agreement are at the Subrecipient's own risk.

Signature of Subrecipient Authorized Official Date

Name and Title of Subrecipient Authorized Official